



**HAWAII STATE ETHICS COMMISSION
DISCLOSURE OF FINANCIAL INTERESTS (FORM D-201)**

HAWAII STATE ETHICS COMMISSION
1001 Bishop Street, Pacific Tower Suite 970
P.O. Box 616
Honolulu, Hawaii 96809
Telephone: 587-0460 Fax: 587-0470
email: ethics@hawaiiethics.org

For Office Use Only

DATE REC'D: 05/29/2003 FILE NO.: 03-D-11318

Rev. 12/01

Defense

IMPORTANT: Please read instructions carefully before filling out this form.

FULL NAME (Last, First, Middle)
Lee, Robert G.F.

SPOUSE'S FULL NAME (Last, First, Middle)
Lee, Judith

DEPENDENT CHILDREN'S FULL NAMES (Last, First, Middle)
N/A

RESIDENCE ADDRESS
[REDACTED]

MAILING ADDRESS
Department of Defense
Office of the Adjutant General
3949 Diamond Head Road, Building 306, Honolulu, Hawaii 96816

BUSINESS TELEPHONE

733-4246

STATE DEPARTMENT/DIVISION OR BOARD/COMMISSION

Department of Defense

RESIDENCE TELEPHONE

[REDACTED]

STATE POSITION HELD

Adjutant General

TERM OF OFFICE:

Begin: 01 Jan 2003

End: 31 Dec 2006

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.
USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
	See Attachment A		

☐ Check here if entry is None

☒ Check here if additional sheets are attached.

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP, DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
	SEE ATTACHMENT B			

☐ Check here if entry is None☒ Check here if additional sheets are attached.**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

☒ Check here if entry is None☐ Check here if additional sheets are attached.

ITEM 4: CREDITORS

List the name and address of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
F	Prudential Securities Two Waterfront Plaza Suite 2-400 Honolulu, Hawaii 96813	H	H
<input type="checkbox"/> Check here if entry is None		<input type="checkbox"/> Check here if additional sheets are attached.	

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	American Red Cross 4155 Diamond Head Road Honolulu, Hawaii 96816	Board of Director	2003-2006	None
F	ESGR, Military Council P.O. Box 3919 Honolulu, Hawaii 96812-3919	Military Council	2003-2006	None
F	Military Affairs Council 1132 Bishop Street, Suit 402 Honolulu, Hawaii 96813	Member	2003-2006	None

ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE

List interests in real property in the State, held during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
F	98-1775 Halakea Street Aiea, Hawaii 96701	1-9-8-57-100	I
F	94-1054 Hanauna Street Waipahu, Hawaii 96797	1-9-4-136-43	H
F	1325 S. Kihei Road #B-207 Kihei, Maui, Hawaii 96753	2-3-9-18-2-85	E

☐ Check here if entry is None☐ Check here if additional sheets are attached.**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED**

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

☒ Check here if entry is None☐ Check here if additional sheets are attached.

ATTACHMENT A

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,J T	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	United States Army 9 th Regional Support Command Fort Shafter Flats 1558 Pass Street Honolulu, Hawaii 96819-2135	E	Commanding General 9 th Regional Support Command
F	Perot Government Systems 311 Pacific Street Honolulu, Hawaii 96817	F	General Manager
F	Pearl Harbor Naval Shipyard 667 Safeguard Street, Suite 100 Pearl Harbor 96860	D	Federal Civil Service Retirement
JT	Rental Income	C	Rental Income
SP	Department of Human Services Child Welfare Services, 601 Kamokila Blvd. Room 172 Kapolei, HI 96707	C	Social Worker (Mrs. Judi Lee)
<div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached. </div>			

ATTACHMENT B

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F, SP, DC, JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Vodafone 2-4 London Road Newbury, Berkshire RG14 IJI United Kingdom	Telecommunications	Common Stock	D
F	Glaxosmithkline P.O. Box 11258 New York, New York 10286-1258	Shareholder relations	Common Stock	B
F	Countrywide Credit 4500 Park Granada Calabasa, CA 91302	Residential mortgage lender services	Common Stock	D
F	Putnam Fund, "New Opportunities" Post Office Square Boston, MA 02109	Mutual fund manager	Common Stock	B
F	Putnam Prime Money Market Fund	Mutual fund manager	Common Stock	D
F	Putnam: NASOAQU100	Mutual fund manager	Common Stock	B
F	Putnam: Money Market Fund	Mutual fund manager	Common Stock	B
F	Mircosoft Common Stock One Microsoft Way Redmond, Washington 98052	Software and programming	Common Stock	D
F	Pacific Gas and Electric Common Stock Headquarters Financial District San Francisco, CA	National energy-based holding	Common Stock	D
F	Sunmicro 4150 Network Circle Santa Clara, CA 95054	Software, Network Computing	Common Stock	B
F	Prudential Funds: Nasdaq 100 Series 1 Dorset House Victoria Road, Chelmsford CM1	Asset allocation mutual funds	Common Stock	B
F	Ameron 245 South Los Robles Avenue Pasadena, CA 91101-2820	Multinational manufacturer of highly engineered products and materials for chemicals, industrial, transportation and infrastructure markets	Common Stock	B

F, SP, DC, JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Prudential Funds: Greater China Fund Dorset House Victoria Road, Chelmsford CM1	Asset allocation mutual funds	Common Stock	B
F	CPB Inc. 220 S. King Street Honolulu, Hawaii 96813	Commercial-based bank offering mortgages and financial management	Common Stock	B
F	MDC Holding Co. Common Stock 234 Bay Street Trading Floor Toronto, Ontario	Provides secure transaction products, business-to-business and internet-based services	Common Stock	D
F	Prudential Funds: Blackrock Fund (S&P 500)	Asset allocation mutual funds	Common Stock	D
F	Intel Common Stock 2200 Mission College Boulevard Santa Clara, CA 95052-8119	Leading manufacturer of computer, networking and communications products	Common Stock	D
F	Cisco Systems Common Stock 170 West Tasman Drive San Jose, CA 95134-1619	Worldwide leader in networking for the internet	Common Stock	B
F	McDonalds Corp. Common Stock HQ, McDonalds Plaza Oak Brook, Illinois 60523	World's leading food service retailer	Common Stock	D
F	Prudential Funds: Command Money Fund	Asset allocation mutual funds	Common Stock	D
F	Dell Corporation One Dell Way Round Rock, Texas 78682	Custom configuration of personal computers, portables and servers	Common Stock	B
F	Templeton World Fund One Franklin Parkway Building 970, 1 st Floor San Mateo, CA 94403	Offer investment products	Common Stock	D
F	Vanguard Funds, Index 500 P.O. Box 2600 Valley Forge Pennsylvania 19482	Investment management business	Common Stock	D
F	Vanguard Funds, U.S. Growth	Investment management business	Common Stock	B
F	TrowePrice, 401K, S&P 500 4410 Arrows West Drive Colorado Springs, Colorado	Tax deferred retirement savings investment	Common Stock	D
F	General Electric Corp. 3135 Eastern Turnpike Fairfield, CT 06431	Business, consumer goods and services	Common Stock	B
F	Nokia Corp. 6000 Connection Drive Irving, TX 75039	Digital and wireless communication and multi-media services	Common Stock	B

F, SP, DC, JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Pacific Century Financial Financial Plaza 111 S. King Street Honolulu, Hawaii 96813	Trust management	Common Stock	B
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if additional sheets are attached.				

ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP,DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

[x]Check here if entry is None

[]Check here if additional sheets are attached.

ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
	<div style="text-align: right;"> RECEIVED 03 MAY 30 P 2:28 STATE OF HAWAII STATE ETHICS COMMISSION </div>

[x]Check here if entry is None

[]Check here if additional sheets are attached.

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F,SP,DC,JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE

[x]Check here if entry is None

[]Check here if additional sheets are attached.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

May 28, 2003

SIGNATURE

DATE